

**DEPARTMENT OF WATER RESOURCES**

1416 NINTH STREET, P.O. BOX 942836  
SACRAMENTO, CA 94236-0001  
(916) 653-5791



February 7, 2013

Mr. Paavo Ogren  
Public Works Director  
San Luis Obispo County Flood Control  
and Water Conservation District  
County Government Center, Room 207  
San Luis Obispo, California 93408

Subject: Commitment Letter - Proposition 84 IRWM Round 2 Planning Grant

Dear Mr. Ogren:

Thank you for your interest in the Proposition 84, Integrated Regional Water Management (IRWM), Planning Grant Solicitation Program. We are pleased to inform you that the proposal "San Luis Obispo County Intergrated Planning Proposal" filed by San Luis Obispo County Flood Control and Water Conservation District has been selected by the Department of Water Resources (DWR) for possible funding.

This letter serves as DWR's conditional commitment of \$1,000,000.00 in Proposition 84, Chapter 2 funding for the grant proposal. This award is conditioned upon the execution of a Grant Agreement between DWR and the grantee and contingent upon the availability of funds. A copy of the Grant Agreement template is available at the following website: <http://www.water.ca.gov/irwm/grants/resourceslinks.cfm>

Please note that the execution of your planning grant agreement is conditioned on San Luis Obispo County Flood Control and Water Conservation District executing the previously awarded Proposition 84 Implementation Round 1 (awarded February 7, 2011) and Proposition 1E Stormwater Flood Management (SWFM) (awarded December 21, 2011) grants. The agreement for this planning grant will not be executed before the agreements for these previously awarded grants are executed.

Also note that for urban water suppliers receiving grant funds, the agreement requires continued compliance with urban water management plans.

The requirements that must be satisfied before DWR will enter into an agreement with your agency are listed in Attachment 1. Your timely attention to this matter is very important. Failure on your part to meet the grant execution requirements, in a timely manner, may result in DWR revoking the grant award.

Mr. Paavo Ogren  
February 7, 2013  
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Please return the requested information in Attachment 1, Items 1-3, by  
February 22, 2013 to Ms. Monica Reis at:

Department of Water Resources  
Division of Integrated Regional Water Management  
P.O. Box 942836  
Sacramento, CA 94236-0001

If you have any questions, please contact Ms. Reis at [monica.reis@ca.water.gov](mailto:monica.reis@ca.water.gov) or  
(916) 651-9291.

Sincerely,



Tracie L. Billington, P.E., Chief  
Financial Assistance Branch  
Division of Integrated Regional Water Management

cc: Dane Mathis, DWR, SCRO  
Monica Reis, DWR  
Courtney Howard, San Luis Obispo County Flood Control & WCD

## **Attachment 1**

### **Grant Agreement Execution Requirements and Related Information**

The following requirements must be satisfied before DWR will enter into an agreement with your agency:

- 1) Submit a letter documenting the grantee has available sources of sufficient funds to start and maintain progress on the grant while DWR processes reimbursement requests.
- 2) Submit detailed information including:
  - a) Any changes to the scope of work based on changes since the grant application was submitted. In Task 18 there is a statement that funds will be used for grant research and writing. In your revised scope, please clarify this text as State grants funds cannot be used to prepare grant applications for another solicitation.
  - b) The budget must also clarify which grant funds are being used for disadvantaged community involvement. As a reminder, DWR must meet the required 10 percent DAC funding target statewide. At the time of award, DWR estimates an additional \$25,328.00 is required statewide to meet the funding target. Grant awards may be affected if the funding target is not met. Please verify which grant funds will be used for DAC involvement/participation in the IRWM planning. Activities that support or facilitate DAC participation include but are not limited to direct outreach, understanding DAC water management needs, or revising/developing process(es) that allow DACs access to or involvement in the planning process. The budget needs to be formatted into a line item budget. Exhibit A explains line item budgets and the format that should be followed. Do not add new categories. Categories not used, should be deleted. The switch to line item budgets is being made to increase efficiency of managing these planning grants.
  - c) The schedule contained in the application does not mention deliverables. Please provide an updated schedule were deliverables are included along with progress report milestones and incorporates any changes that have occurred since the application.
- 3) The completed Environmental Information Form.
- 4) Execution of previously awarded Prop 84 implementation and Proposition 1E SWFM grants noted above.

## ENVIRONMENTAL INFORMATION FORM

Grantees are responsible for complying with all applicable laws and regulations for their projects, including the California Environmental Quality Act (CEQA). Work that is subject to the CEQA shall not proceed under the IRWM Planning Grant Agreement until documents that satisfy the CEQA process are received by the Department of Water Resources (DWR) and DWR has completed its CEQA compliance review. Work that is subject to a CEQA shall not proceed until and unless approved by DWR. Such approval is fully discretionary and shall constitute a condition precedent to any work for which it is required. Once CEQA documentation has been completed, DWR will consider the environmental documents and decide whether to continue to fund the project or to require changes, alterations or other mitigation. **This form is to be completed by the Grantee or Lead Agency.**

Grantee organization: \_\_\_\_\_  
Project Manager: \_\_\_\_\_  
Project Title: \_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Address: \_\_\_\_\_

1. List the source of any other grants or funds received from the Department of Water Resources to implement a portion of this project. If none, please respond NA.
2. Is this a project as defined by CEQA? Explain. If no, skip to #9 below. If yes proceed to #3.
3. Is this project exempt from CEQA compliance? Yes \_\_\_\_\_ No \_\_\_\_\_  
If no, skip to #4, below.  
If yes, check the appropriate response below; provide reasons for exemption in the space provided below; and then skip to #9, below. Cite the CEQA Article, Section and Title of the CEQA exemption, if appropriate (statutory exemptions: [http://ceres.ca.gov/topic/env\\_law/ceqa/guidelines/art18.html](http://ceres.ca.gov/topic/env_law/ceqa/guidelines/art18.html), categorical exemptions: [http://ceres.ca.gov/topic/env\\_law/ceqa/guidelines/art19.html](http://ceres.ca.gov/topic/env_law/ceqa/guidelines/art19.html));

\_\_\_\_\_ Lead Agency has already filed a Notice of Exemption (NOE) with the State Clearinghouse and/or County Clerk. (Attach copy of the NOE and, if applicable, a copy of the governing Board Resolution accepting the NOE)

\_\_\_\_\_ Lead Agency will file a NOE with the State Clearinghouse and/or County Clerk. Provide estimated date: \_\_\_\_\_

\_\_\_\_\_ Lead Agency will NOT file a NOE with the State Clearinghouse and/or County Clerk. *If Lead Agency chooses not to file a NOE, sufficient documentation and information must be submitted to the Project Manager, along with this form, to allow DWR to make its own CEQA findings.*

Reasons for Exemption:

4. If the project will require CEQA compliance, identify the Lead Agency.

CEQA Lead Agency: \_\_\_\_\_

5. Please check types of CEQA documents to be prepared:

\_\_\_\_\_ Initial Study

\_\_\_\_\_ Negative Declaration / Mitigated Negative Declaration

\_\_\_\_\_ Environmental Impact Report

6. Please describe the status of the CEQA documents, expected date of completion, and estimated cost, if requesting DWR funds relating to CEQA compliance:

Status: \_\_\_\_\_

Date of Completion: \_\_\_\_\_

Estimated Costs: \_\_\_\_\_

7. If the CEQA document has been completed, please provide the title of the document and the State Clearinghouse number if available. Submit one hard copy and a CD copy of the CEQA document and any environmental permits listed in Question 8 to the contact listed in the Commitment letter.

8. Please list all environmental permits you must obtain to complete the project. (attach additional pages as necessary). Submit a hard copy and a CD copy of any permits already completed.

Type of Permit	Permitting Agency

9. This Environmental Information Form was completed by:

Print or Type Name: \_\_\_\_\_

Agency: \_\_\_\_\_

Phone Number: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Please return the completed form to Monica Reis at [monica.reis@ca.water.gov](mailto:monica.reis@ca.water.gov) or (916) 651-9291.

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\*For DWR Use Only.

\_\_\_\_\_ DWR received environmental documents.

\_\_\_\_\_ DWR made findings.



## Exhibit A Line Item Budget

This exhibit is an example of how to construct a summary line item budget. For projects with multiple components, each line item is the summary of all component costs for that line item. In developing your line item budget, please note, that costs incurred between September 30, 2008 and November 28, 2012 are Funding Match only. Funding Match consists of non-State funds including in-kind services. Costs incurred on or after the date of grant award, November 29, 2012, shall be eligible for grant reimbursement.

Example Line Item Budget						
<b>Proposal Title:</b> _____						
Column 1 Line Item	Column 2 Resource Category	Column 3 Grantee Cost Share	Column 4 DWR Grant Funding <sup>1</sup>	Column 5 Other Fund Sources <sup>2</sup>	Column 6 DAC Funding <sup>3</sup>	Column 7 Total <sup>4</sup>
1	Personnel Services <sup>5</sup>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2	Land/Right-of-Way Acquisition	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3	Operating Expenses <\$5,000 <sup>6</sup>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
4	Equipment >\$5,000 <sup>7</sup>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
5	Professional & Consultant Services <sup>8</sup>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

1. All grant funds including DAC funding.
2. List all sources of funding and use multiple columns as required
3. The portion of Grant funds from Column 4 that will be used for DAC involvement /participation
4. Total = Column 3 + Column 4 + Column 5
5. Personnel Services includes the hours and wages of the Grantee or Project Proponent employees, by classification, who will be working on the project (be sure to keep a separate accounting code to charge to for audit purposes). If CEQA or construction services are done by Grantee's employee, costs are incurred in the hours/total.
6. Examples: Document Reproduction, Office Supplies, Office Expenses, Travel, Permit Fees, Lab Equipment. NOTE: If an item is described as "Equipment," it must be followed by "(less than \$,5000)"
7. Itemize each piece of equipment over \$5,000. Examples: Automatic Sampler, Monitoring Equipment, etc.
8. This category includes but is not limited to: Pre-Design Geotechnical Services, Site Survey, Design Plans and Specifications, Monitoring, Lab Services, Permit Fees, Lab Work, Monitoring Plan Preparation, Report Preparation, Sanitary Sewer Surveys, CEQA/NEPA, etc.

# Exhibit A

## Planning Grant Participating Agencies

Planning Grant Component	Grant Funding Allocation	Lead Agency
Update Plan to Meet Standards	\$185,292	Flood Control District
SNMP <sup>1</sup> / Recycled Water Planning		
Identification of Basins Requiring SNMPs	\$14,708	Flood Control District
Santa Maria Groundwater Basin Study	\$200,000	Flood Control District
Paso Robles Groundwater Basin SNMP Paso Robles Groundwater Basin Model	\$100,000 SNMP \$50,000 Model	City of Paso Robles Flood Control District
Regional Recycled Water Planning	\$200,000	Flood Control District
Watershed Management Planning	\$125,000 \$125,000	*Upper Salinas RCD *Coastal San Luis RCD
Total Grant Award	\$1,000,000	3 Sub-Agreements approved by Board of Supervisors 3/26/13  *2 revised Sub-Agreements under consideration today

1. Salt and Nutrient Management Plan